

Regulatory Information Notice (RIN) Capability



Risk, assurance & regulation

A respected operator in the provision of risk management, assurance and regulatory advice, GHD Advisory delivers services to a range of industries, utilities and public sectors across the globe. We recognise risk, assurance and regulation are intrinsically linked and provide integrated services based on the technical skill, operational and business know-how, management and experience of our 10,000 staff and 200 operating locations.

To enhance our advice, GHD Advisory provides non-financial audit and assurance services across the entire business value chain. This complements our ability to advise clients on the full risk/ assurance and regulation/ compliance spectrum. Whether the need is a whole of business program or a response to a specific business issue, GHD Advisory is at the forefront of driving solutions which generate value for your business.



Driving value for your business

Assurance, audit & compliance

We harness the technical capability of GHD to provide you with a full suite of non-financial audit and compliance subject matter specialists to address the assurance needs of your business. Whether at a whole of business level or in response to a specific business challenge, we offer broad ranging experience in meeting your requirements through:

- providing controls assurance to your stakeholders from the board room to the lunch room
- regulatory compliance framework design and set-up advice, operationalisation and ongoing management of obligations
- auditing the operational efficiency and effectiveness of your controls in managing risk.

GHD Advisory's Regulatory Information Notice (RIN) Audit team continues to successfully review the non-financial RIN information for a number of our clients. Reviews cover Category analysis, Economic benchmarking and Annual Reporting RIN requirements. Our team has developed a deep understanding of requirements to efficiently and effectively produce high quality audit outcomes.

Tips to enhance the RIN process

To help you with your RIN obligations, here are some learnings from our work in assisting other clients through the process:

1. Clearly define who is responsible for each RIN table
2. Be familiar with the RIN notice content and all definitions
3. Maintain source data in a way that can be easily audited
4. Have calculations and RIN data peer reviewed to help eliminate errors
5. Prior to the audit perform reasonableness tests against the previous years' data
6. Be able to provide source data on a sample of the dataset
7. Provide in advance of the audit (approximately one week):
 - Basis of Preparation
 - Completed RIN tables
8. Check tables are complete, all cells are filled in as required and match parameters on different sheets to have identical values
9. Prepare a 'pre-audit' checklist circulated to subject matter experts 1-2 months prior to the audit.

Contact one of our specialists for a further conversation on how GHD Advisory can help you enhance the efficiency and effectiveness of your RIN review process.

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